

# Early Fault-Tolerant Quantum Algorithms for Matrix Functions via Trotter Extrapolation

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## Background

### Product Formulae for Time Evolution

In a quantum system with Hamiltonian  $H$ , a state  $|\psi(T)\rangle$  evolves as:

$$|\psi(T)\rangle = e^{-iHT/\hbar}|\psi(0)\rangle$$

When  $H = \sum_{j=1}^m H_j$ , we use product formulae (Trotterization)  $\mathcal{P}$ :

$$U(t) \approx \left( \prod_{j=1}^m e^{-iH_j T/r} \right)^r \quad \text{or} \quad |\psi(0)\rangle \xrightarrow{\text{repeat } r \text{ times}} \left[ e^{-iH_1 T/r} \cdots e^{-iH_m T/r} \right]^r |\psi(0)\rangle \xrightarrow{\text{repeat } r \text{ times}} |\psi(T)\rangle$$

- Each  $H_j$  is simple (e.g., a Pauli string), enabling native gate implementations.
- Number of steps:  $r = \mathcal{O}\left((\alpha_{\text{comm}}^{(p+1)})^{\frac{1}{p}} T^{1+\frac{1}{p}} \varepsilon^{-\frac{1}{p}}\right)$ .
- The order  $p$  controls the accuracy and scaling with time and error.

### Why Product Formulae

Method	Max Depth / Sample	Sample Overhead
Product Formulae [1]	$\mathcal{O}\left(\Gamma(\alpha_{\text{comm}}^{(p+1)})^{1/p} T^{1+1/p} \varepsilon^{-1/p}\right)$	$\mathcal{O}(1/\varepsilon^2)$
Qubitization [2]	$\mathcal{O}\left(\Gamma\left[\Lambda T + \frac{\log(1/\varepsilon)}{\log \log(1/\varepsilon)}\right]\right)$	$\mathcal{O}(1/\varepsilon^2)$
Random Compiler [3]	$\mathcal{O}(\Lambda^2 T^2)$	$\mathcal{O}(1/\varepsilon^2)$

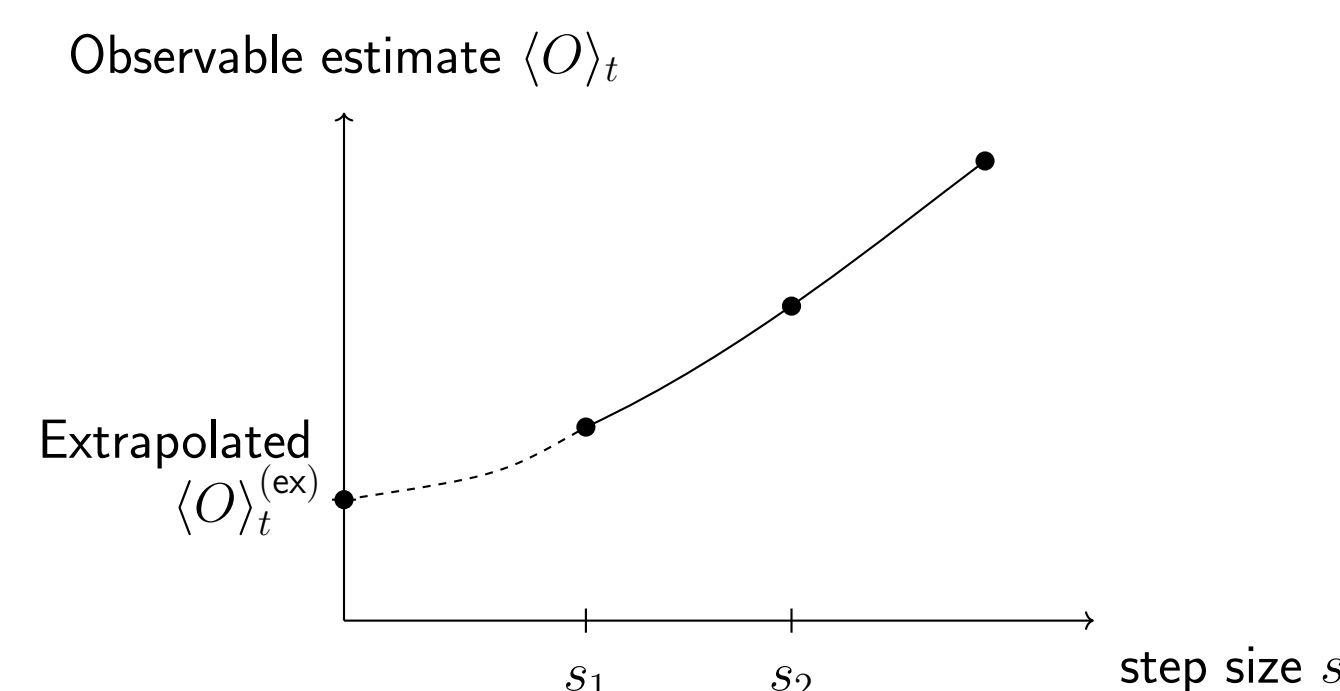
- Low overhead:** No ancillas or block encoding required.
- Simple compilation:** Native gate decomposition.
- Commutator scaling:** Errors tied to size of nested commutators (generally small).
- Limitation:** Trotter formulas scale poorly with  $\varepsilon$ .

## Richardson Extrapolation

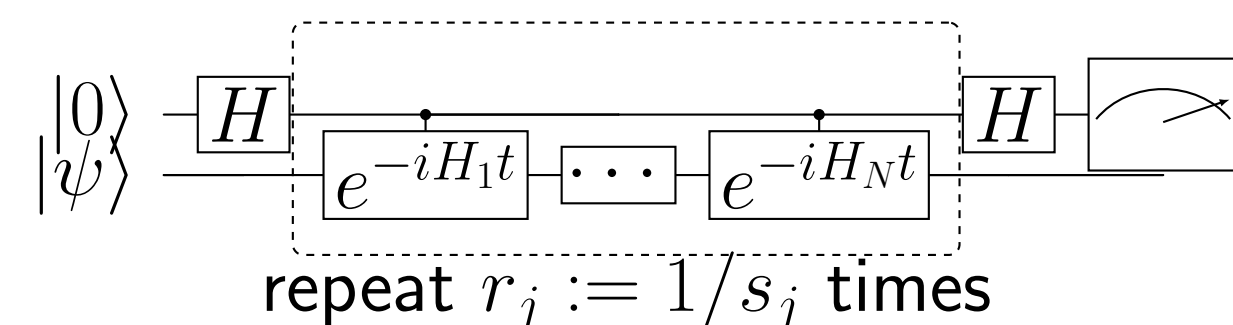
To reduce Trotter error, simulate with multiple step sizes  $\delta_i = s_i T$  and extrapolate.

- Improves accuracy:** Cancels error up to  $\mathcal{O}(\delta^{m+1})$  with  $m$  runs.
- Hardware-friendly:** Reduces circuit depth burden, by including more samples — ideal for EFT devices.
- Studied for time-evolved observables [4]. Does it work more generally?

### Richardson Extrapolation for Trotter Observable



We create a linear combination of product formulae  $\mathcal{P}$  using the Richardson schedule  $\{s_j\}_{j=1}^m$  and coefficients  $\{b_j\}_{j=1}^m$  to estimate  $\text{Tr}[\rho e^{iHT}] \approx_{\varepsilon_R} \sum_{j=1}^m b_j \text{Tr}[\rho \mathcal{P}(s_j T)]$ . We estimate  $\text{Tr}[\rho \mathcal{P}(s_j T)]$  using Hadamard tests (test for real part shown below):



## Main Results

### Key Takeaways

Richardson extrapolation provides an **exponential reduction** in the Trotter error scaling for **computing matrix functions**.

- Error scaling exponentially improves  $\varepsilon^{-\frac{1}{p}} \rightarrow \log\left(\frac{1}{\varepsilon}\right)$  with only  $\mathcal{O}\left(\log \log\left(\frac{1}{\varepsilon}\right)^2\right)$  additional sample overhead

$$\lambda_{\text{comm}} = \sup_{\substack{j \in \sigma Z_+ \geq \sigma m \\ 1 \leq l \leq K}} \left( \sum_{\substack{j_1, \dots, j_l \in \sigma Z_+ \geq p \\ j_1 + \dots + j_l = j}} \prod_{k=1}^l \frac{\alpha_{\text{comm}}^{(j_k+1)}}{(j_k+1)^2} \right)^{\frac{1}{(j+1)}}$$

- Note that  $\lambda_{\text{comm}} \ll \Lambda$  and bounded for useful Hamiltonians:

- electronic structure in plane-wave basis:  $\alpha_{\text{comm}}^{(j)} = \mathcal{O}(n^j) \Rightarrow \lambda_{\text{comm}} = \mathcal{O}(n)$
- $k$ -local:  $\alpha_{\text{comm}}^{(j)} = \mathcal{O}(\|H\|_1^{j-1} \|H\|_1) \Rightarrow \lambda_{\text{comm}} = \mathcal{O}\left(\|H\|_1 \|H\|_1^{\frac{1}{p+1}}\right)$

### Compiling Primitives

We give an algorithm to estimate matrix functions using product formulas as primitives. Our simplest primitive to estimate  $\text{Tr}[\rho e^{iHT}]$  has

$$C_{\text{gate}} = \mathcal{O}\left(\Gamma(\Upsilon \lambda_{\text{comm}} T)^{1+\frac{1}{p}} \left(\log\left(\frac{1}{\varepsilon}\right)\right)\right), \quad C_{\text{sample}} = \mathcal{O}\left(\frac{1}{\varepsilon^2} \left(\log \log\left(\frac{1}{\varepsilon}\right)\right)^2\right)$$

Also have primitive for  $\text{Tr}[e^{iHT} \rho e^{iHT'} O]$  with  $\mathcal{O}\left(\frac{1}{\varepsilon^2} \log \log\left(\frac{1}{\varepsilon}\right)^4\right)$  sample complexity.

Using Fourier expansion  $f(A) = \sum_{k=1}^K c_k e^{iA t_k}$ , we use primitive to estimate  $\text{Tr}[f(A) \rho]$

$$C_{\text{gate}} = \mathcal{O}\left(\Gamma(\Upsilon \lambda_{\text{comm}} T)^{1+\frac{1}{p}} \log\left(\frac{c(\varepsilon/3)}{\varepsilon}\right)\right), \quad C_{\text{sample}} = \mathcal{O}\left(\frac{(c(\varepsilon/3))^2}{\varepsilon^2} \left(\log \log\left(\frac{1}{\varepsilon}\right)\right)^2\right)$$

and similarly  $\text{Tr}[f(A) \rho f(A)^\dagger O]$  has  $\mathcal{O}\left(\frac{(c(\varepsilon/3))^4}{\varepsilon^2} \left(\log \log\left(\frac{1}{\varepsilon}\right)\right)^4\right)$  sample complexity.

## Algorithmic Applications

### Phase Estimation

Estimates the ground energy  $E_0$  of Hamiltonian  $H$ . We use our primitive as follows [5]:

- Approximate CDF:**  $\tilde{C}(x) = \text{Tr}[\rho \tilde{\Theta}(xI - \kappa H)]$  with resolution parameter  $u$  and scaling  $\kappa$  where  $\tilde{\Theta}$  is the approximated Heaviside function and  $\kappa$  is a normalization.
- Ground State Energy Estimation:** By testing threshold crossings of  $\tilde{C}(x \pm u)$ , we can locate  $x^*$  such that  $\left|\frac{x^*}{\kappa} - E_0\right| \leq \frac{u}{\kappa}$
- Binary Search Strategy:** Iteratively narrow the search interval with  $\mathcal{O}(\log(1/u))$  evaluations of  $\tilde{C}(x)$ , yielding an additive estimate of  $E_0$ .

Thus, to estimate  $E_0$  to precision  $\varepsilon$  with constant success probability  $\delta$ , we need:

$$C_{\text{gate}} = \tilde{\mathcal{O}}\left(\Gamma\left(\frac{\Upsilon \lambda_{\text{comm}}}{\varepsilon}\right)^{1+\frac{1}{p}}\right), \quad C_{\text{sample}} = \tilde{\mathcal{O}}\left(\frac{1}{\eta^2}\right)$$

where  $\eta$  is the initial state overlap.

### Green's Function

In quantum physics, the frequency-domain Green's function describes how a system responds to perturbations at energy  $\omega$ . It is essential for computing spectral properties, such as excitation energies and densities of states.

This function involves estimating the **resolvent operator** with our primitive:

$$R(\omega + i\Gamma_{\text{broad}}, \hat{H}) = (\omega + i\Gamma_{\text{broad}} - \hat{H})^{-1},$$

where  $\Gamma_{\text{broad}} > 0$  is a broadening factor that ensures convergence.

$$C_{\text{gate}} = \tilde{\mathcal{O}}\left(\Gamma\left(a_{\text{max}} \Upsilon \lambda_{\text{comm}} \frac{1}{\Gamma_{\text{broad}}}\right)^{1+\frac{1}{p}}\right), \quad C_{\text{sample}} = \tilde{\mathcal{O}}\left(\frac{1}{\Gamma_{\text{broad}}^2 \varepsilon^2}\right).$$

## Refinements and Extensions

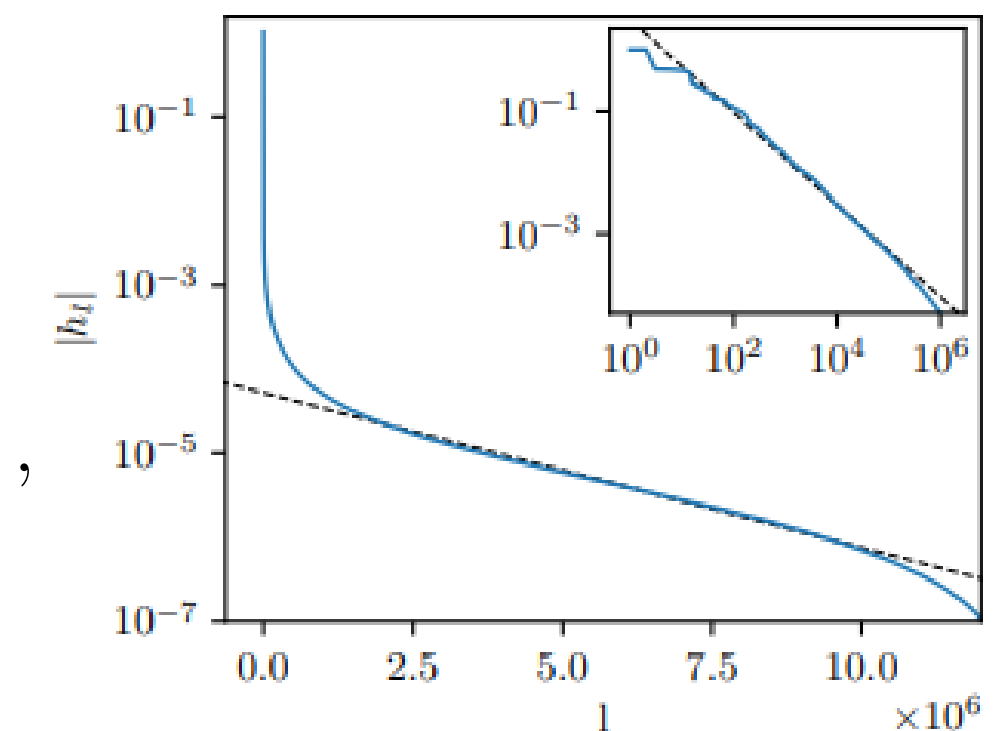
### Partial Randomization [6]

Many Hamiltonians can be broken into a few high-weight terms, with the rest as low weights. We apply product formulas on  $L$  high weight terms and randomize the rest:

- Estimates  $\text{Tr}[Z e^{iHT}]$
- We implement  $W_m$  using RTE.
- We apply Richardson-extrapolation for:

$$C_{\text{gate}} = \mathcal{O}\left(L_D(\Upsilon \tilde{\lambda}_{\text{comm}} T)^{1+\frac{1}{p}} \log^2\left(\frac{1}{\varepsilon}\right) + \lambda_R^2 T^2\right),$$

$$C_{\text{sample}} = \mathcal{O}\left(\frac{1}{\varepsilon^2} \left(\log \log\left(\frac{1}{\varepsilon}\right)\right)^2\right)$$



### Fermion Systems

For systems in a  $\eta$ -fermion subspace, we tighten analysis with the fermionic semi-norm.

- Operators are number-preserving (map  $\eta$ -electron states to  $\eta$ -electron states)
- Gate complexity now dependent on the fermionic semi-norms of nested commutators
- Same bounds but with  $\lambda_{\text{comm}}^{(\eta)}$  defined from  $\alpha_{\text{comm}}^{(\eta)} < \alpha_{\text{comm}}$  [7, 8, 9]

### References

- [1] Childs et al., "Theory of Trotter Error with Commutator Scaling," 2021.
- [2] Low et Chuang., "Hamiltonian Simulation by Qubitization," 2019.
- [3] Wan et al., "Randomized Quantum Algorithm for Phase Estimation," 2021.
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- [8] Mcardle et al., "Exploiting fermion number in factorized decompositions of the electronic structure Hamiltonian," 2022.
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